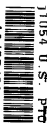


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Approved for use through 10/31/2002. OMB 0551-0032
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. P02046US1 (10023489)

First Inventor Judith F. Margolin et al.

Title TREM-1 SPLICER VARIANT, etc.

Express Mail Label No. ET883324644US

APPLICATION ELEMENTS

See MPEP chapter 900 concerning utility patent application contents.

ADDRESS TO:

Box Patent Application
 U.S. Patent and Trademark Office
 P. O. Box 2327
 Arlington, VA 22202

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
 2. ☒ Applicant claims small entity status.
 See 37 CFR 1.27
 3. ☒ Specification [Total Pages 56]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table,
 or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
 4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
 5. Oath or Declaration [Total Pages 1]
 a. ☐ Newly executed (original or copy)
 b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 i. ☐ DELETION OF INVENTOR(S)
 Signed statement attached deleting
 inventor(s) named in the prior application,
 see 37 CFR 1.63(d)(2) and 1.53(b)
 6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or
 Computer Program (Appendix)
 8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 a. ☒ Computer Readable Form (CRF)
 b. Specification Sequence Listing on:
 i. ☐ CD-ROM or CD-R (2 copies); or ii. ☒ paper
 c. ☒ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
 10. ☐ 37 CFR 3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
 11. ☐ English Translation Document *(if applicable)*
 12. ☐ Information Disclosure ☐ Copies of IDS
 Statement (IDS)/PTO-1449 Citations
 13. ☐ Preliminary Amendment
 14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
 15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
 16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i).
 Applicant must attach form PTO/SB/35 or its equivalent.
 17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

26,271

or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Melissa W. Acosta

Registration No. (Attorney/Agent)

45,872

Signature

Date

December 7, 2001

Transmittal-New Utility Patent Application

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. ET883324644US, in an envelope addressed to: Box Patent Application, U.S. Patent and Trademark Office, Washington, DC 20231, on the date shown below.

Dated: December 7, 2001

Signature:

Staci Harris

(Staci V. Harris)

12/07/01
 10:00 AM
 01570-01

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☒ Application claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) **826.00**

Complete if Known

Application Number	Not Yet Assigned
Filing Date	December 7, 2001
First Named Inventor	Judith F. Margolin et al.
Examiner Name	Not Yet Assigned
Group Art Unit	N/A
Attorney Docket No.	HO-P02046US1

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit Account Number: **06-2375**
Deposit Account Name: **Fulbright & Jaworski L.L.P.**

The Commissioner is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	370.00
106	530	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					370.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Fee from Claims	Fee Paid
38 -20** = 18	9.00	162.00
Independent Claims 10 -3** = 7	42.00	294.00
Multiple Dependent		

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	Reissue independent claims over original patent	
110	18	210	9	Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					456.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	239	130	Non-English specification	
147	2,520	247	2,520	For filing a request for ex parte reexamination	
112	920*	212	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	213	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	238	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	222	130	Petitions to the Commissioner	
123	50	223	50	Processing fee under 37 CFR 1.17(q)	
126	180	226	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.126(a))	
149	740	249	370	For each additional invention to be examined (37CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination or a design application	
Other fee (specify)					
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					0.00

SUBMITTED BY

Name (Print/Type) **Melissa W. Acosta**

Signature

Registration No. (Attorney/Agent)

45,872

Complete (if applicable)

Telephone **(713) 651-5407**

Date

December 7, 2001

Fee Transmittal

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. ET683324644US, in an envelope addressed to: Box Patent Application, U.S. Patent and Trademark Office, Washington, D.C. 20231, on the date shown below.

Dated: December 7, 2001

Signature: **Staci V. Harris** Staci V. Harris

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airmail No. E7883324644US, in an envelope addressed to: Box Sequence, U.S. Patent and Trademark Office, Washington, DC 20231 on the date shown below.

Dated: December 7, 2001

Signature: 

(Staci Harris)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Judith F. Margolin, et al.

Docket No.: HO-P02046US1

Application No.: Not Yet Assigned

Group Art Unit: N/A

Filed: December 7, 2001

Examiner: Not Yet Assigned

For: TREM-1 SPLICE VARIANT FOR USE IN
MODIFYING IMMUNE RESPONSES

STATEMENT PURSUANT TO 37 CFR 1.823(b)

Box Sequence

U.S. Patent and Trademark Office

Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

Submitted herewith for filing in connection with the above-referenced patent application is a labeled, computer readable copy of the Sequence Listing included in the application.

I hereby state that I have reviewed the paper copy of the Sequence Listing contained on pages 1 to 13 of the specification of the above-referenced patent application, as required by 37 CFR 1.821(e), and the computer readable form of the Sequence Listing, as required by 37 CFR 1.821(c), and that the content of the paper and computer readable copies are the same.

Early favorable consideration of the patent application is respectfully solicited.

Dated: December 7, 2001

Respectfully submitted,

By 

Melissa W. Acosta

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FULBRIGHT & JAWORSKI L.L.P.
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